UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK	Y					
In re LEHMAN BROTHERS HOLDINGS INC., et al.,	: : Chapter 11 Case No. : : 08-13555 (JMP)					
Debtors.	: (Jointly Administered) :					
	x Ref. Docket Nos. 40797, 40935 40937					
<u>AFFIDAVIT OF</u>	S SERVICE					
STATE OF NEW YORK) ss.:						
COUNTY OF NEW YORK)						
LAUREN RODRIGUEZ, being duly sworn, deposes and	d says:					
. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.						
On November 6, 2013, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1)						

- 2. On November 6, 2013, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
- 3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez
Lauren Rodriguez

Sworn to before me this 8th day of November, 2013 /s/ Cassandra Murray

Notary Public, State of New York No. 01MU6220179 Qualified in Queens County Commission Expires April 12, 2014 EXHIBIT A

08-13555-scc Doc 41020 Filed 11/08/13 Entered 11/08/13 17:34:31 Main Document Pg 3 of 5 UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor:

HSBC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH

CONTACT: SARAH CHAN, DIRECTOR LEVEL 13 & 14

1 QUEEN'S ROAD CENTRAL HONG KONG

Additional:

Transferee:

HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE

ATTN: SARAH CHAN/DIRECTOR

LEVEL 13 & 14 1 QUEEN'S ROAD CENTRAL HONG KONG HONG KONG

Your transfer of claim # 56669-03 is defective for the reason(s) checked below:

Amount On Transfer Agreement Does Not Match

Docket Number 40935

Date 11/01/13

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 6, 2013.

EXHIBIT B

П	08-13555-scc Dc	oc 41020 File	d 11/08/13 E Pg 5	ntered 11/08/3 of 5	13 17:34:31	Main Document
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	700 LEVEL 13 TOR LEVEL 13 TOR LEVEL 13 TRECTOR LEVE TRECTOR LEVE					
	Address PO BOX 1810 TAMPA FL 33601-1810 ATTN: SARAH CHAN/DIRECTOR LEVEL 13 6 ATTN: SARAH CHAN/DIRECTOR LEVEL 13 6 CONTACT: SARAH CHAN, DIRECTOR LEVEL CONTACT: SARAH CHAN, DIRECTOR LEVEL ATTN: KEVIN CHIN, C/O PCCP LLC 444 N					
	Address PO BOX 1810 ATTN: SARAH ATTN: SARAH CONTACT: SA CONTACT: SA	v				
	ING THE SANCH, THE SA, HONG KONG SA, SINGAPORE	ಶ				
	HEGEMON FUND I, LLC HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE HONGKONG AND SHANGHAI BANKING CORPORATION LTD., SINGAPORE BRANCH, THE HSBC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH HSBC PRIVATE BANK (SUISSE) SA, SINGAPORE BRANCH HSBC PRIVATE BANK (SUISSE) SA, SINGAPORE BRANCH PLAZA HARBOUR MEZZ HOLDINGS LLC	Total Number of Records Printed				
TIME: 14:41:43 DATE: 11/06/13	HEGEMON FUND I, LLC HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, SINGAPORE I HSBC PRIVATE BANK (SUISSE) SA BRANCH HSBC PRIVATE BANK (SUISSE) SA BRANCH HSBC PRIVATE BANK (SUISSE) SA BRANCH PLAZA HARBOUR MEZZ HOLDINGS LI	tumber of Re				
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